

# Development and anomalies of the cranio-vertebral junction

Charles Raybaud

*Hospital for Sick Children, University of Toronto*

[charles.raybaud@sickkids.ca](mailto:charles.raybaud@sickkids.ca)

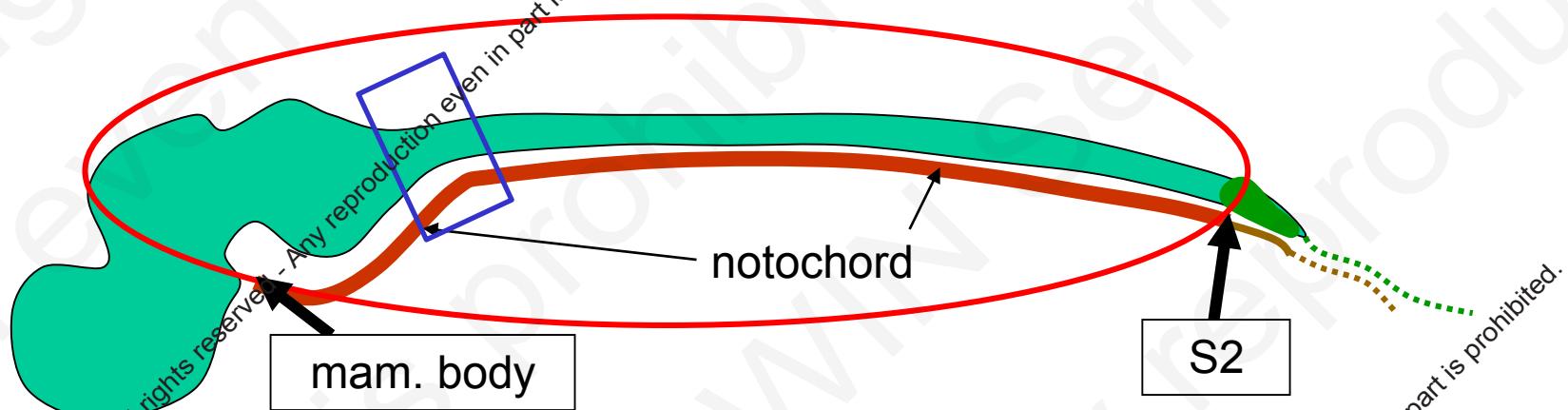
# What is the Cranio-Vertebral Junction

- A segment of the spine dedicated to head motion
  - vision, smell, hearing, seeing, eating, fighting
- Interposed between the “standard” spine and the “cephalized” spinal segment that constitutes the bony posterior fossa
- Derived from occipito-cervical somites

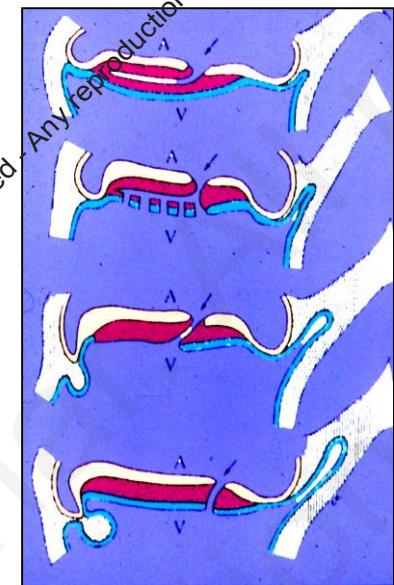
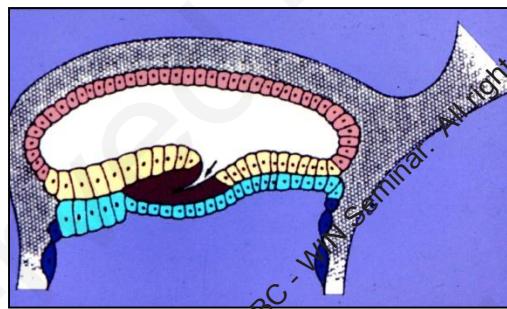
# Malformations at the cranio-vertebral junction

1. **Gastrulation:** notochord development and malformations
  - neuro-enteric canals/cysts
  - diplomyelia/diastematomyelia
2. **Neurulation:** neural tube differentiation and closure
  - Chiari III
3. **Somitic/vertebral segmentation disorders**
4. **Bony growth disorders**
  - Chiari 1 deformity commonly associated to both

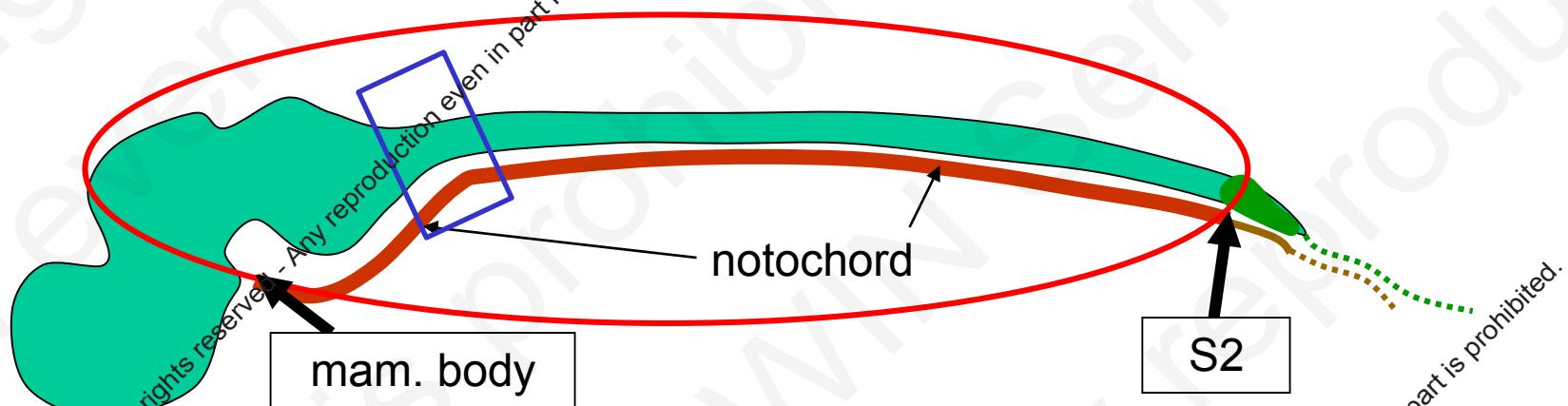
# Notochordodysraphism



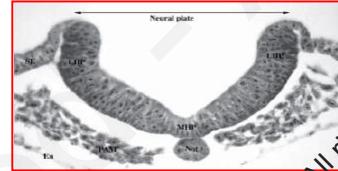
Formation of the notochord  
Neuro-enteric canal and cyst



# Notochordodysraphism

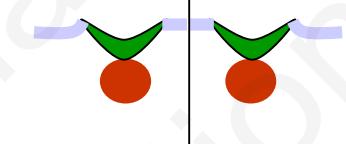


Induction of the neurulation



Normal

Duplicate

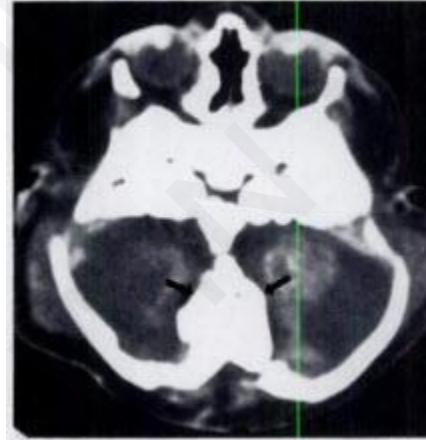


Split cord

# Notochordodysraphism at the CVJ



Neuro-enteric  
canal and cyst

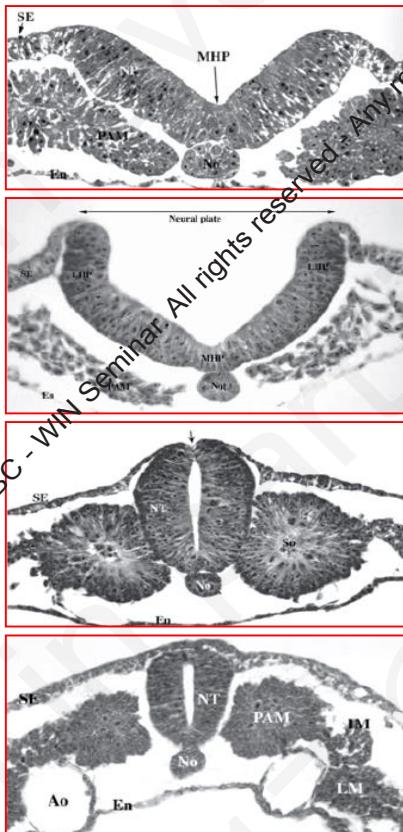


Diastematomyelia Herman, AJNR 1990

Foramen magnum  
diastematomyelia with  
triplobulbia  
Sandberg CNS 2007



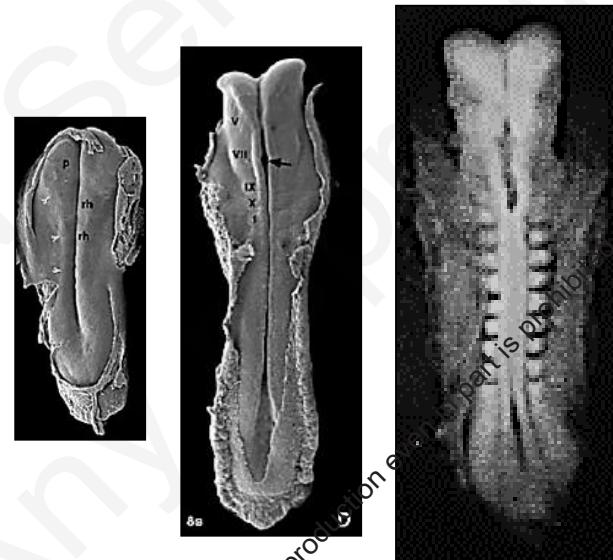
# Open neurodysraphism (neural tube defect) at the CVJ



- Myelobulbo-meningocele = Chiari 3
- (Chiari 2 is a deformity secondary to a myelomeningocele, not a malformation)

# Somitic segmentation process

- Ventral induction *SHH*
- Segmentation genes: Homeobox genes
- “Segmentation clock” genes:
  - cyclic activation of *Notch*, *Wnt*, *FGF* makes up the somites
  - cyclic inhibition by *MESP2* = intersomitic-boundaries

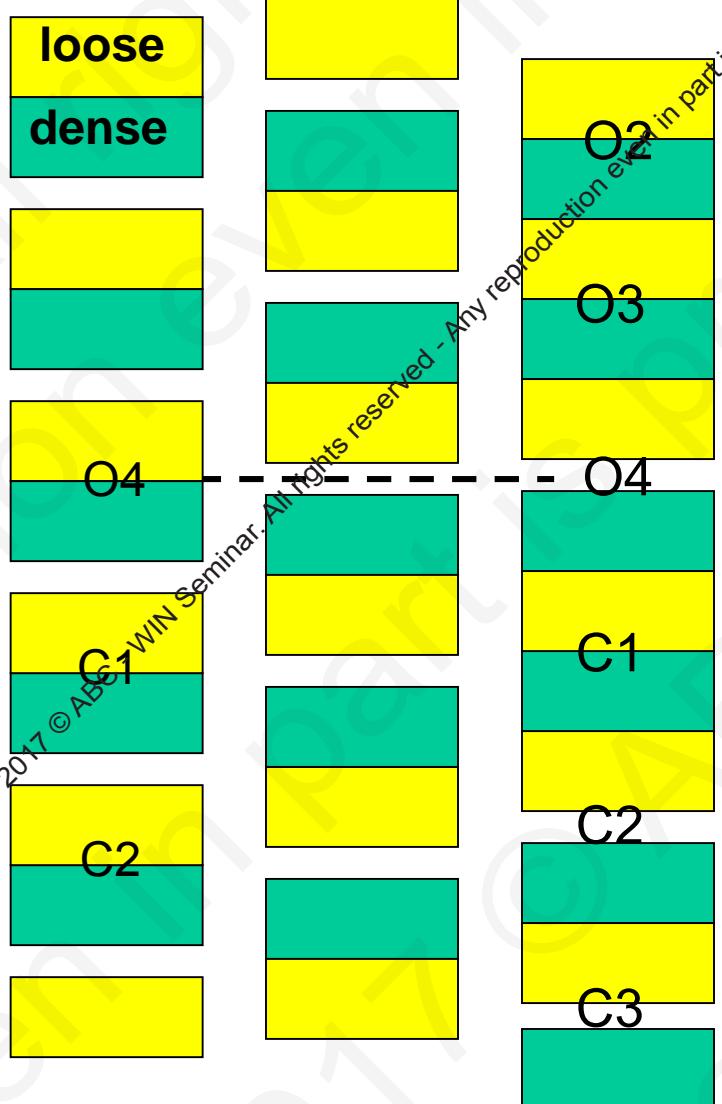


# Bony cranio-vertebral junction segmentation

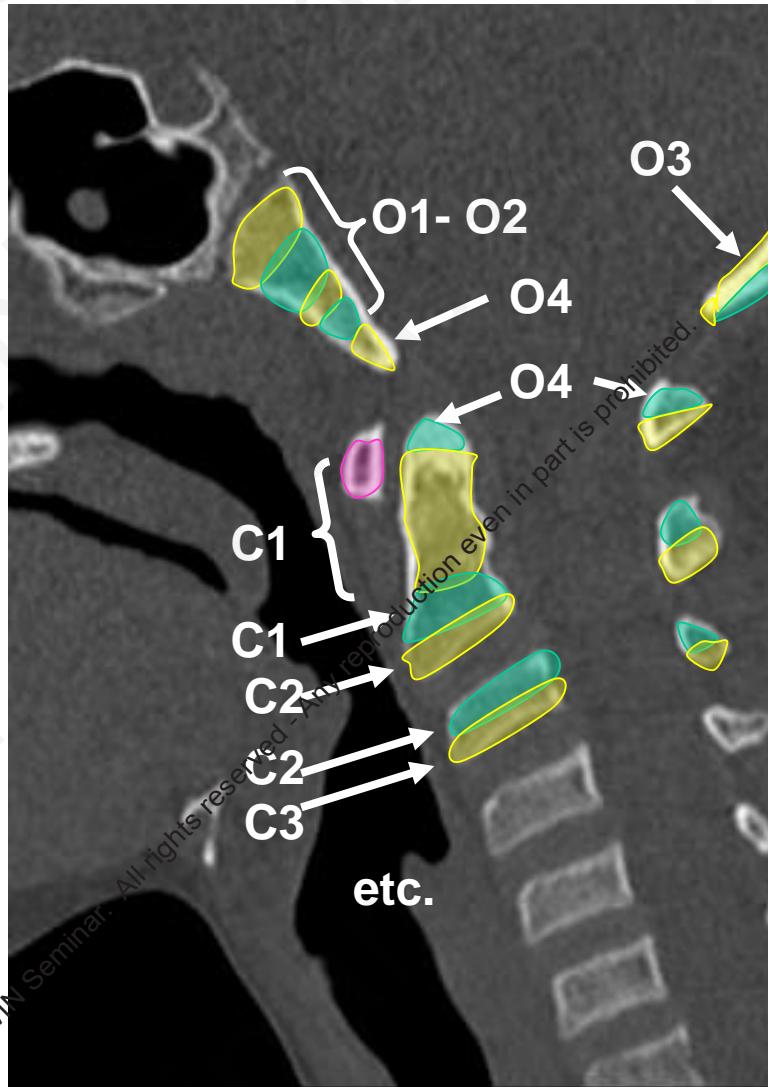
- Vertebral “resegmentation” process
  - Somites = nerves, vertebrae between nerves
- Three cranio-vertebral specificities
  1. cephalized rostral “spine”: unsegmented posterior fossa
  2. bidirectional cranio-vertebral joint: un-divided C1-C2
  3. retention of C1 hypo-centrum for rotation socket

somites spine

cvj

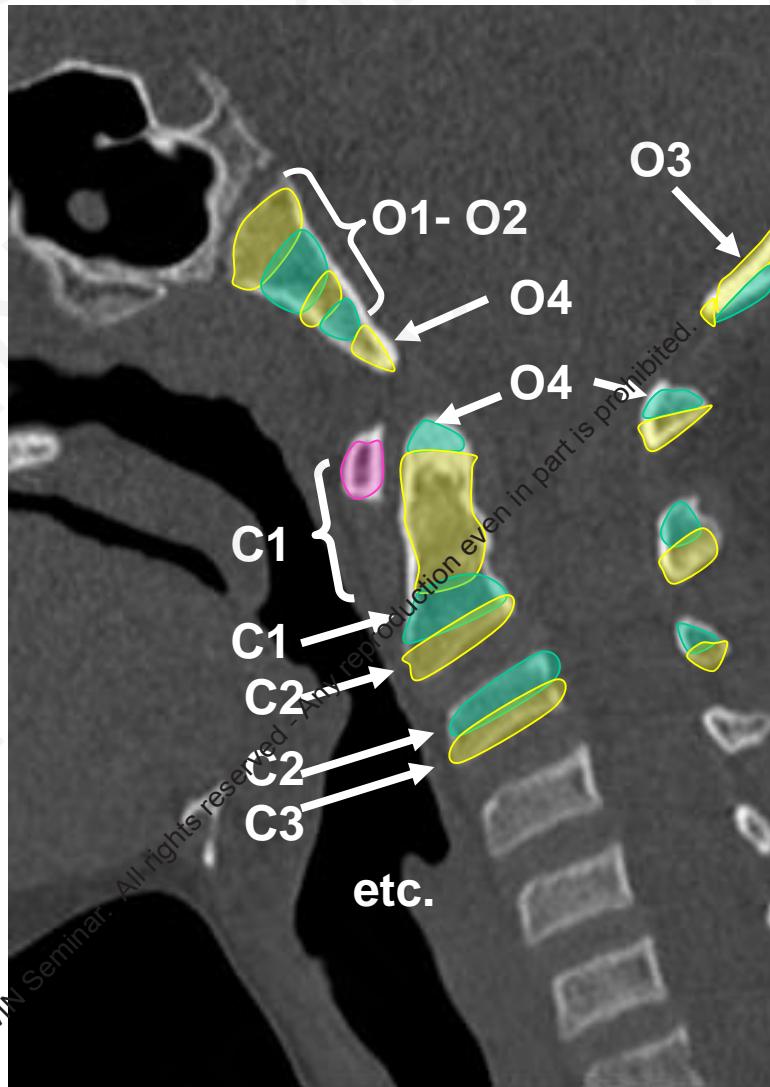
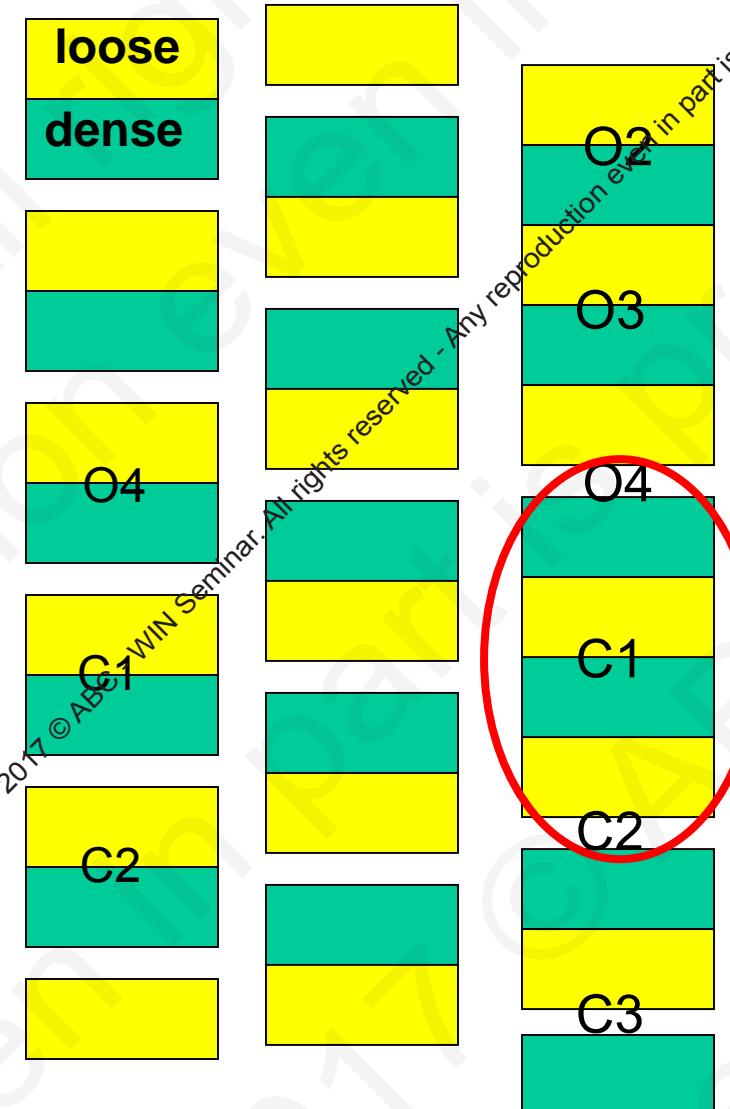


1) somitic levels correspond to nerves – vertebrae are between nerves

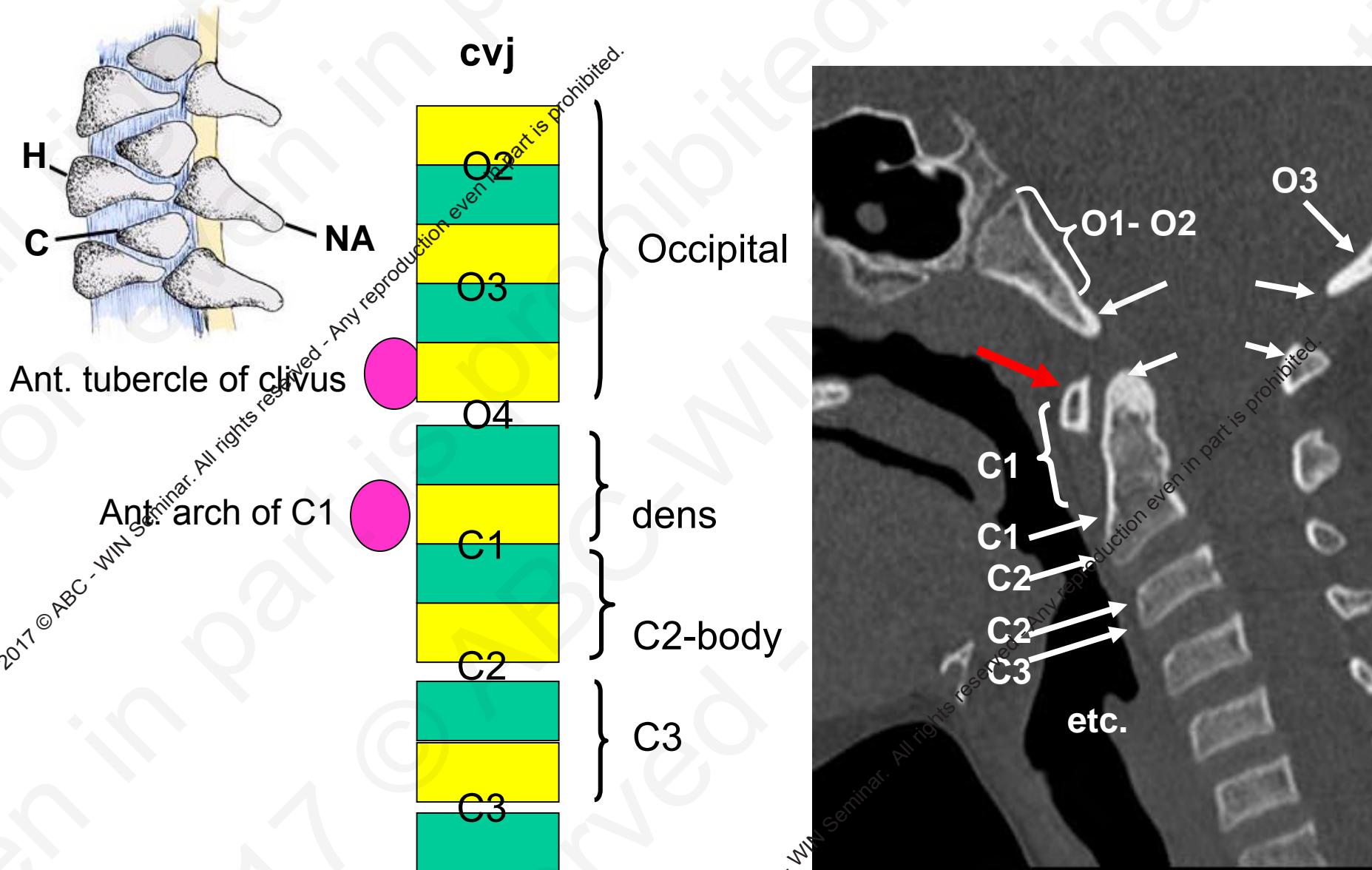


somites spine

cvj



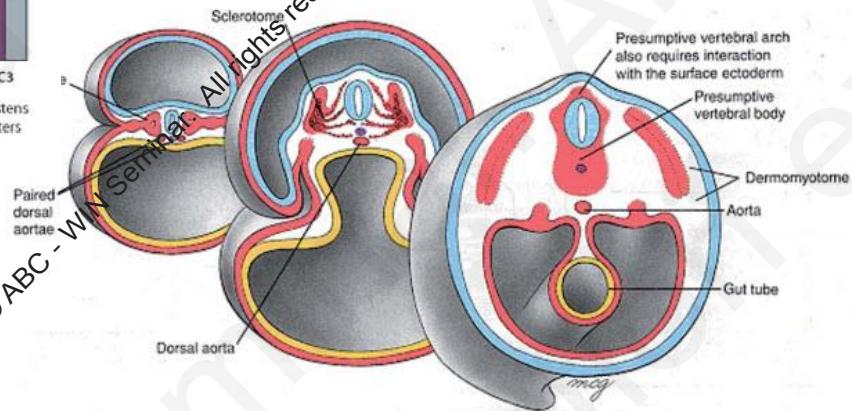
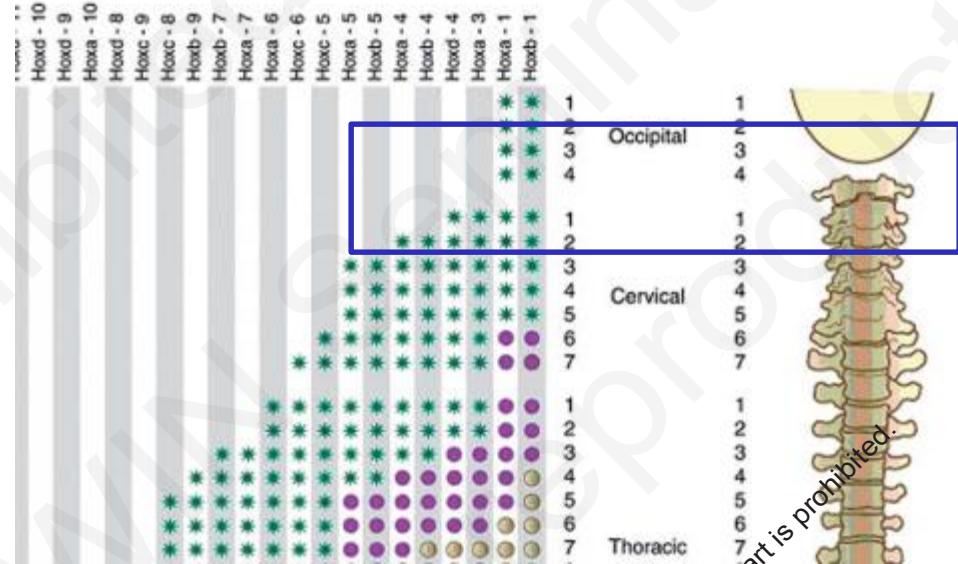
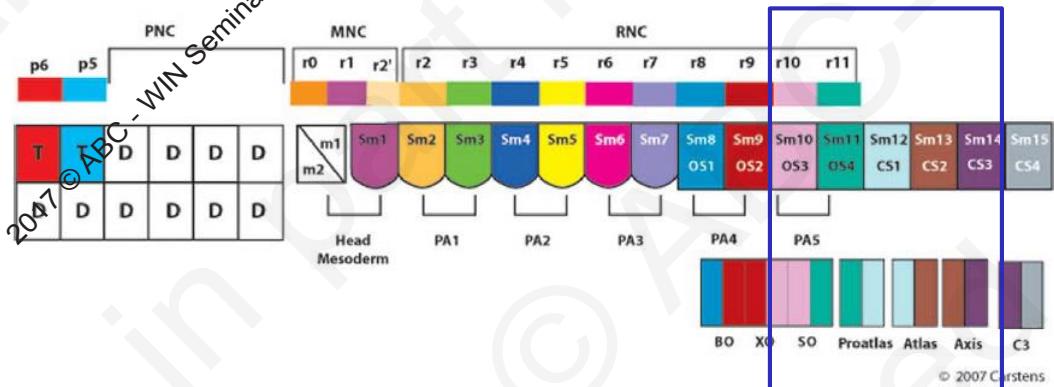
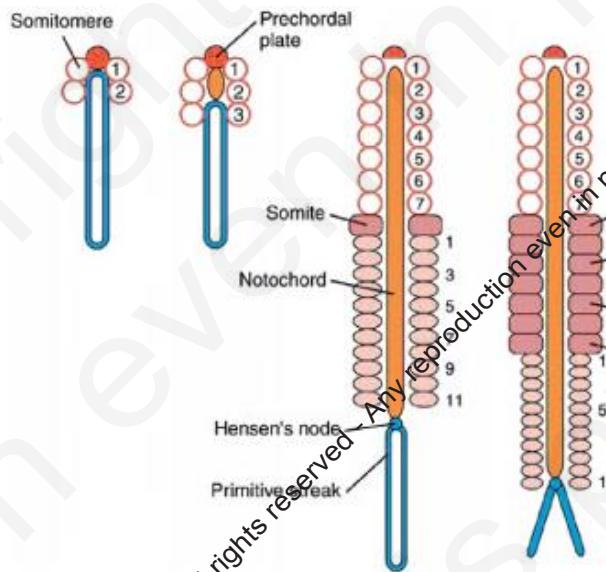
2) unsegmented occipital – CVJ segment – resegmented spine



3) retention of the O4 - C1 segmental hypocentra (hypochordal arches) to complete the multidirectional articulation (socket for the axis)

# Segmentation process: beyond the somites

- Axial skeleton, paraxial mesoderm, neural tube are segmented
- Rostral-most notochord extends to mesencephalon
- Rhombomere 0 = isthmic organizer starts the segmentation
- Segments = somitomeres correspond to neuromeres
  - pharyngeal (branchial) arches = ventral portion of incomplete somites: no dorsal sclerotome, myotome, dermatome
  - occipital somites 1-3: no dorsal myotomes
  - pro-atlas: no dorsal sclerotome
  - C1: no dorsal dermatome (no sensory C<sub>1</sub>)



MH Carstens  
Handbk Clin Neurol, Malf. Nerv. Syst  
Chapt 16-17, 2008

neuro-mere	neural tube	somito-mere	somite	derivative 1	derivative 2
r0				NC: prechordal mesoderm?	
r1	pons-cerebellum	1		NC: orbital muscles and walls	
r2	open medulla 1	2		Phar. arch 1	
r3		3			
r4	open medulla 2	4		Phar. arch 2	
r5		4			
r6	open medulla 3	6		Phar. arch 3	pyramid
r7		7			mastoid
r8	closed medulla	8	OS1	Phar. arch 4	
r9		9	OS2		occiput
r10	closed medulla	10	OS3	Phar. arch 5	
r11		11	OS4		foramen magnum
m1	cord	12	CS1		
m2		13	CS2		C1-C3
m3	cord	14	CS3		
m4		15	CS4		

# Segmentation process

- Ventral induction *SHH*
- Segmentation genes: Homeobox genes
- “Segmentation clock” genes:
  - cyclic activation of *Notch*, *Wnt*, *FGF* makes up the somites
  - cyclic inhibition by *MESP2* = intersomitic-boundaries
- Specific causes, pathways, processes to explain why segmentation is abnormal in a given patient are lacking
- Specifics of CVJ unknown
- Segmentation disorders may be syndromic or sporadic

# Missegmentation of the bony CVJ

**Table 1** Classification of bony malformations of the CVJ according to embryogenesis

Clinically significant CVJ bony malformations

Malformations of central pillar

Disturbance of axial component of occipital sclerotome, proatlas and C<sub>1</sub> resegmented sclerotome

Odontoid dysgeneses

- Aplasia/hypoplasia of odontoid components
- Disturbance of odontoid synchondroses (IBZ)
- Os odontoideum

Ossiculum terminale persistens

- Abnormal resegmentation of proatlas centrum
- Os avis

▪ Failed midline integration of basal dental segment

– Bifid dens

Basioccipital dysgeneses

- Failed midline integration of basioccipital primordium
  - Bifid clivus
- Basioccipital dysplasia
  - Basilar impression
  - Platymbasia
  - Retroflexed dens
  - Basilar invagination
  - Basilar kyphosis

Malformations of surrounding rings

Disturbance of lateral component and hypochordal bows of proatlas and C<sub>1</sub> resegmented sclerotome

Proatlas anomalies

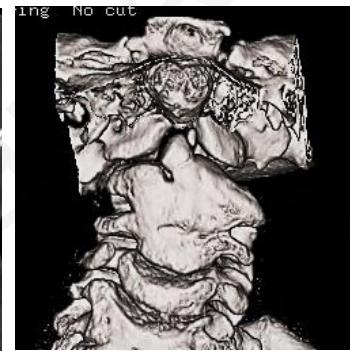
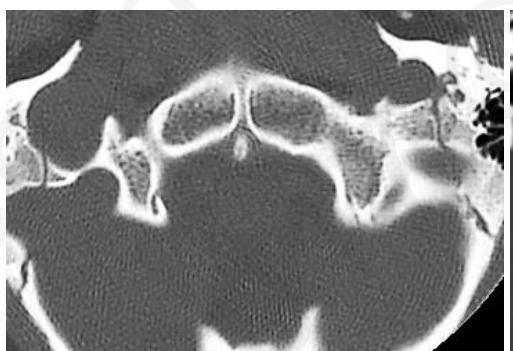
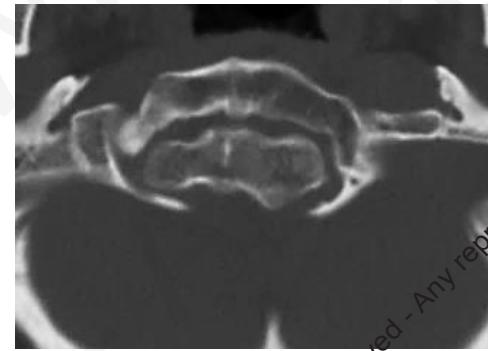
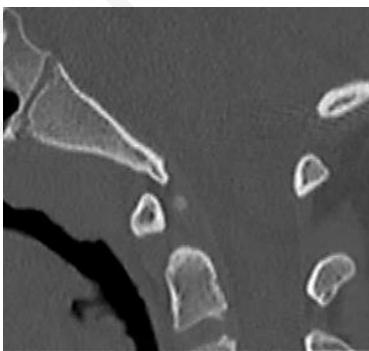
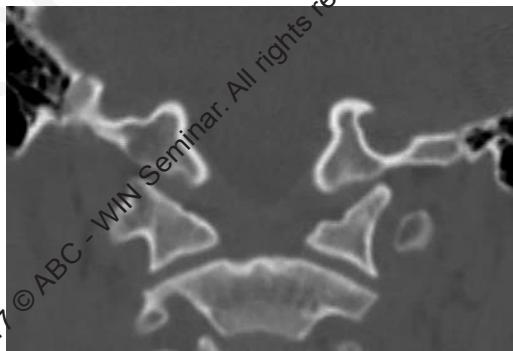
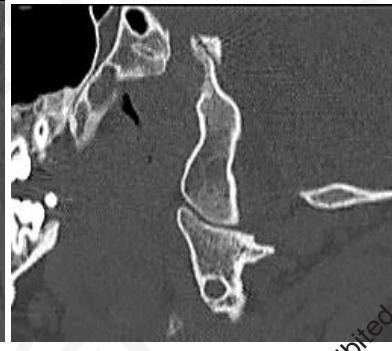
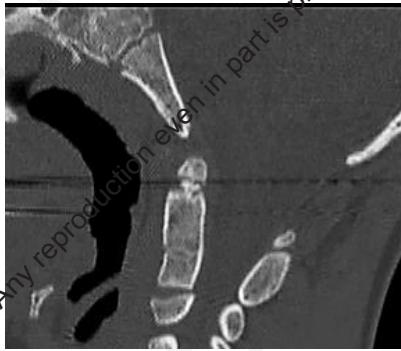
- Hyperplasia of hypochordal bow of proatlas
  - Third occipital condyle
  - Pre-basioccipital arch
- Hyperplasia of exoccipital sclerotome
  - Hypertrophic occipital condyle
- Non-resegmentation of proatlas (anterior homeotic transformation)
  - Atlas assimilation
- Posterior homeotic transformation



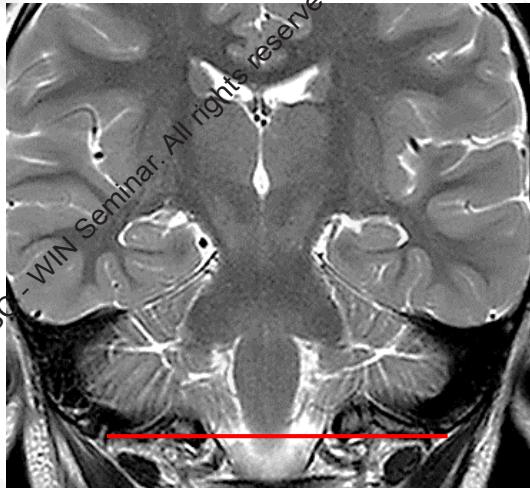
C<sub>1</sub> sclerotome anomalies

- Aplasia of hypochordal bow of C<sub>1</sub>
  - Aplasia and hypoplasia of anterior C<sub>1</sub> arch
- Aplasia/hypoplasia of lateral sclerotome
  - Posterior C<sub>1</sub> arch agenesis
- Combined hypochordal bow and lateral sclerotome dysplasia
  - Aplasia of lateral mass and anterior C<sub>1</sub> arch
  - Combined anterior and posterior C<sub>1</sub> arch defects
  - Bifid anterior and posterior C<sub>1</sub> arch

# Missegmentation of the bony CVJ



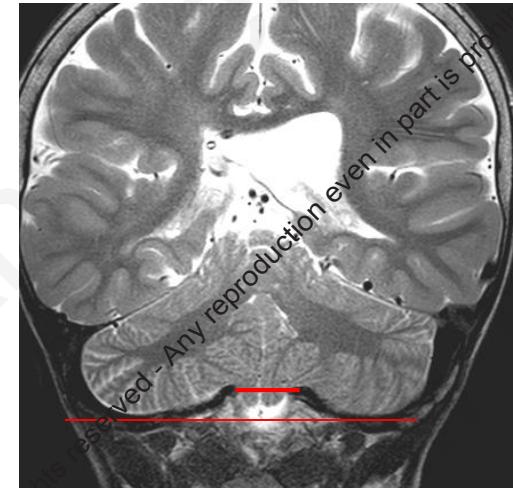
# Abnormal bony posterior fossa



Normal occipital alignment

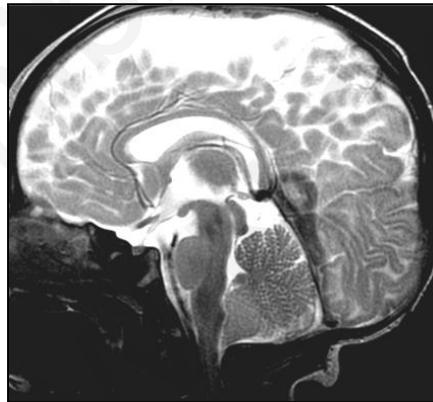
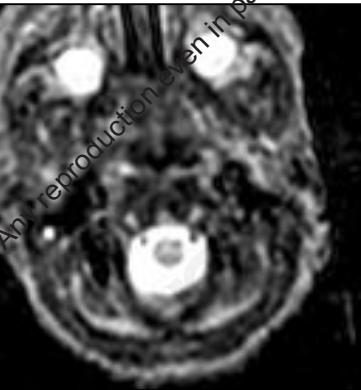


Unilateral occipital hypoplasia



Basal impression (invagination)

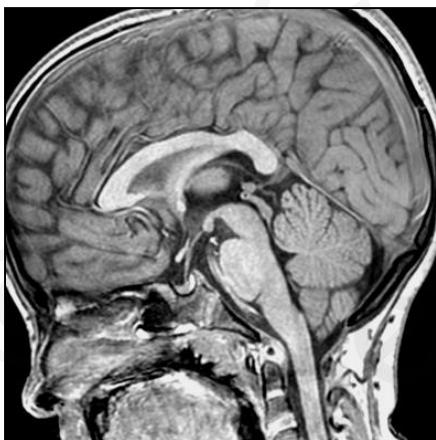
# Neural CVJ: Chiari 1 deformity



29w/9d

2m

4y



3y

6y

- Chiari 1 may appear or regress spontaneously
- May be secondary to lumbar shunt or CSF over-drainage

Chiari 1 is a deformity; not a malformation

# Chiari 1 deformity: terminology

- Hans Chiari 1891
  - Chiari 1: tonsillar ectopia
  - Chiari 2: low, abnormal hindbrain with myelomeningocele
  - Chiari 3: occipito-cervical cephalocele
  - Chiari 4: extreme cerebellar hypoplasia, now PCH (degenerative)
- Tortori-Donati 1996
  - Chiari 4: Chiari 2 + small cerebellum, now “vanished cerebellum”
- Alabama’s group, 2000s
  - Chiari 0: no Chiari but syringomyelia
  - Chiari 1.5: tonsillar + brainstem descent
  - Chiari 5: Chiari 3 + occipital lobes

# Chiari 1: pathogenetic postulates

- Hans Chiari: congenital hydrocephalus  
1970's-1980's: Chiari 2 in children, Chiari 1 in adults
- 1990's: abnormal ventro-dorsal patterning
- Now: small posterior fossa

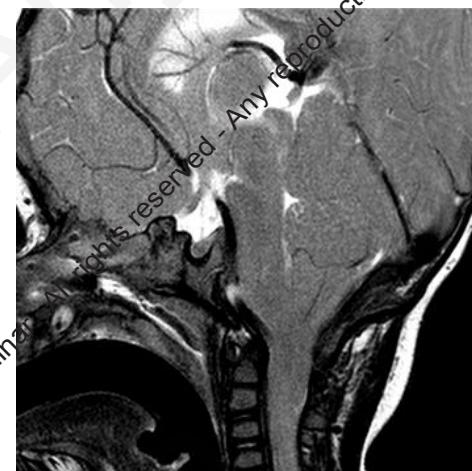
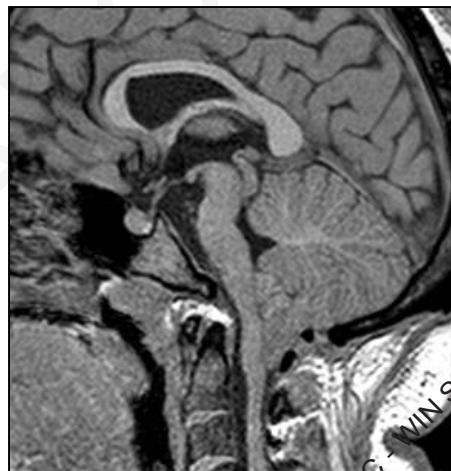
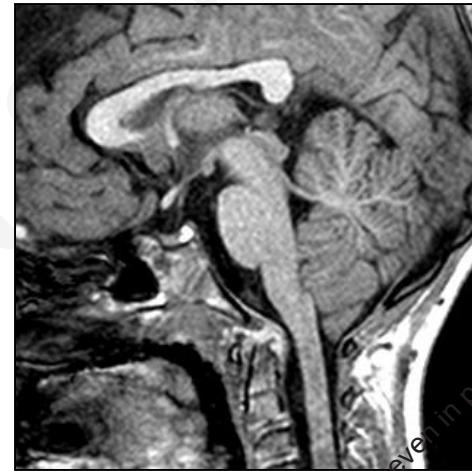
# Chiari 1: small posterior fossa

- Poorly developed posterior fossa (Aydin 2005, 60 adults; Sekula 2005, 20 adults)
- posterior fossa normal without syringomyelia, small with syringomyelia (Sgouros 2006, 42 children)
- abnormal skull base morphometry (Sgouros 2007, 30 children)
- small posterior fossa (Trygiliadas 2007, 61 children)
- basi-occipital shortness (Noudel 2009, 17 adults; Dagtekin 2011, 15 adults)
- posterior fossa normal in children but abnormal in adults (Furtado 2009, 21 children, 21 adults)

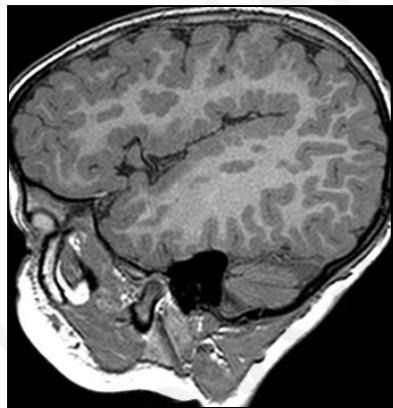
# Chiari 1 deformity: a discrepancy content/container

- Large brain/hindbrain
  - Sotos, HME, NF1, congenital hydrocephalus etc.
- Small skull, and / or small posterior fossa
  - craniosynostoses, platybasia, basal impression etc.
- Short clivus (most common)
  - pro-atlas hypoplasia
- Mis-segmentation (e. g., Klippel-Feil)
  - occipital vertebra, other complex malformations

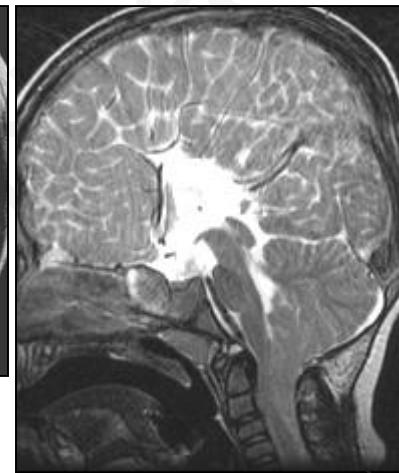
# Chiari 1: posterior fossa cisterns



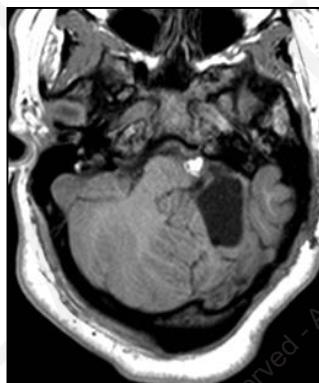
In all, posterior fossa is small, therefore cisterns are effaced and foramen magnum is crowded



PMG +



ACC +



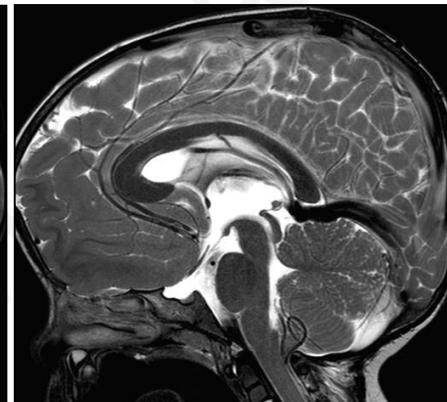
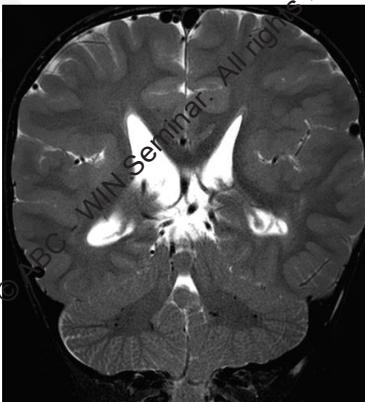
dysplasia

## Large brains/hindbrains

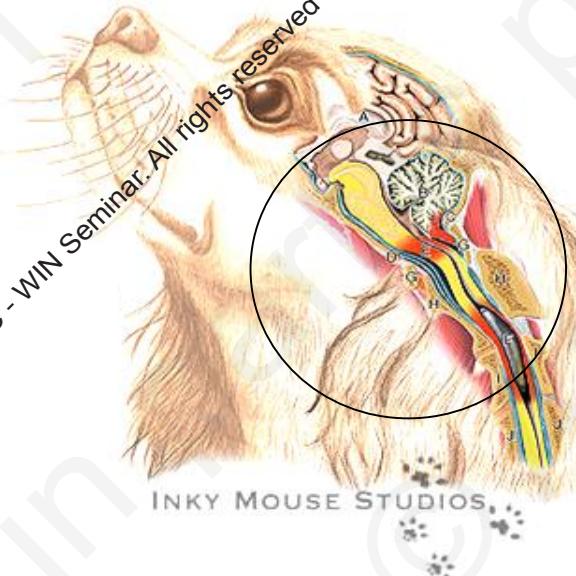


Soto's

congenital  
hydrocephalus



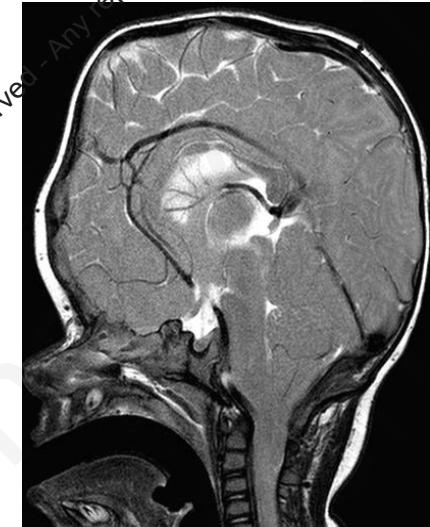
# Small skull or small posterior fossa



## Cavalier King Charles Spaniel

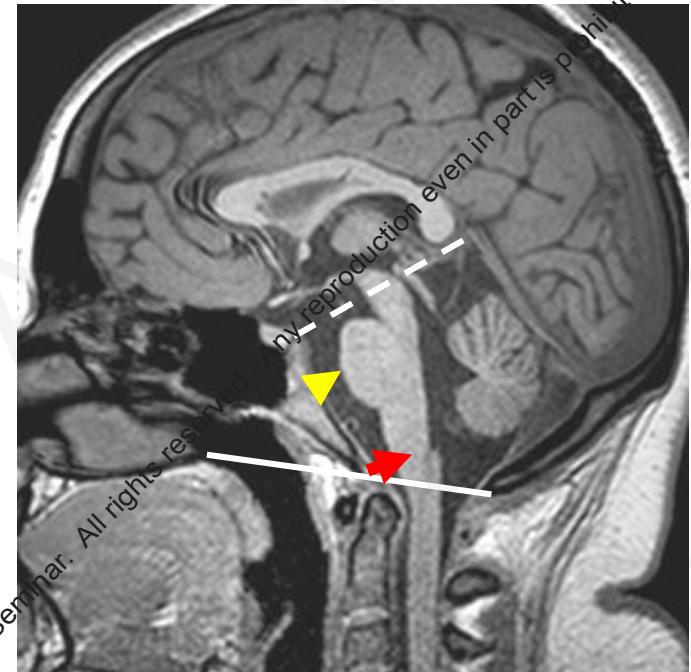
- small skull
- flat skull base - PF
- Chiari 1 deformity
- syringomyelia (no hydrocephalus)

Crouzon  
early closure of  
coronal sutures  
and basal  
synchondroses



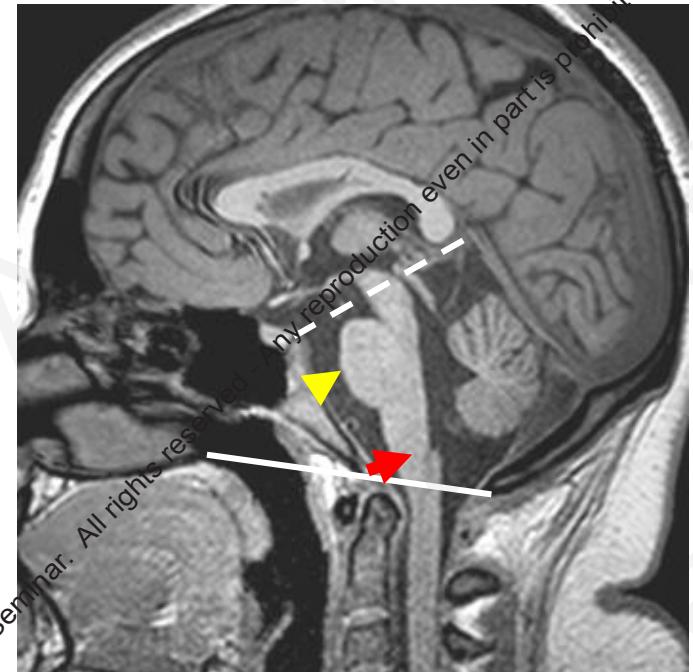
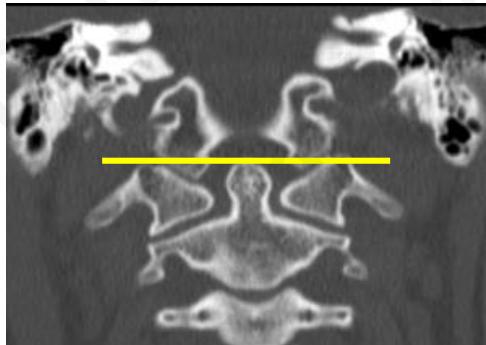
# Anatomic landmarks of bony posterior fossa

- Chamberlain, McRae
  - same plane
- Other landmarks
  - synchondrosis – midpons
  - basion -- obex
- Incisural line



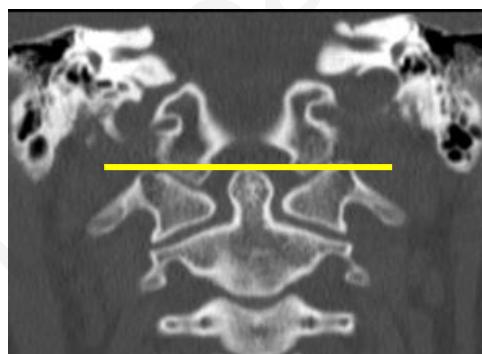
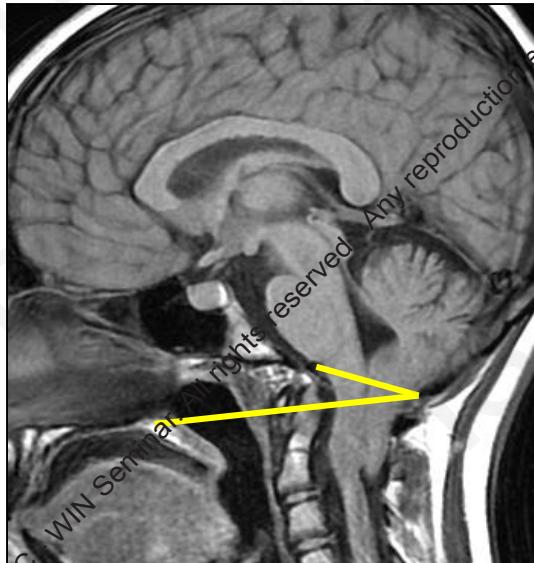
# Anatomic landmarks of bony posterior fossa

- Chamberlain, McRae
  - same plane
- Other landmarks
  - synchondrosis – midpons
  - basion -- obex
- Incisural line



Tip of dens and occipito-atlantal joints are aligned along the flexion axis of the head

# Bony abnormalities: posterior fossa landmarks

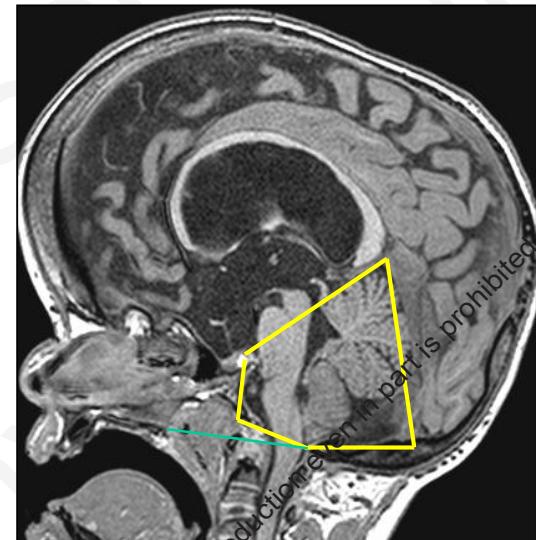
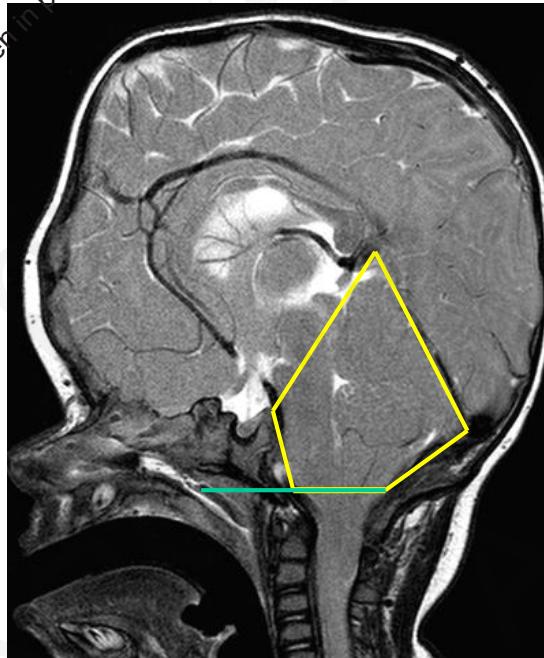
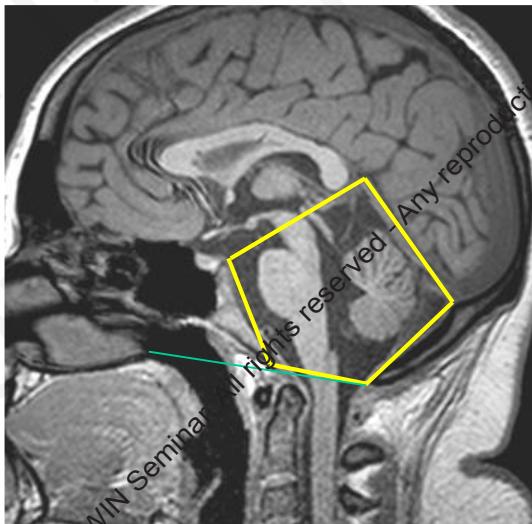


Short clivus  
Small posterior fossa  
Abnormal CVJ dynamics



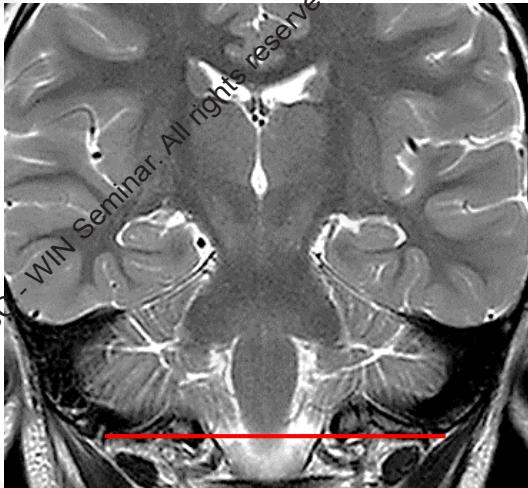
Achondroplasia  
Synchondroses closed,  
not sutures  
Posterior fossa small,  
vault gives way,  
hindbrain ascends

# Anatomical landmarks of posterior fossa



- Posterior fossa sagittal osteo-dural pentagon: regular and symmetric
- Standard measuring bar: clivus

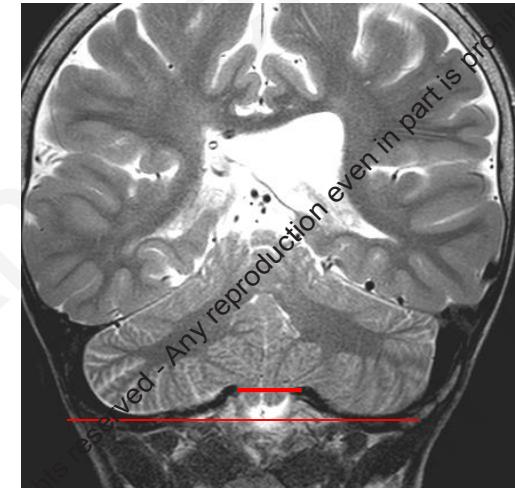
# Abnormal bony posterior fossa



Normal occipital alignment

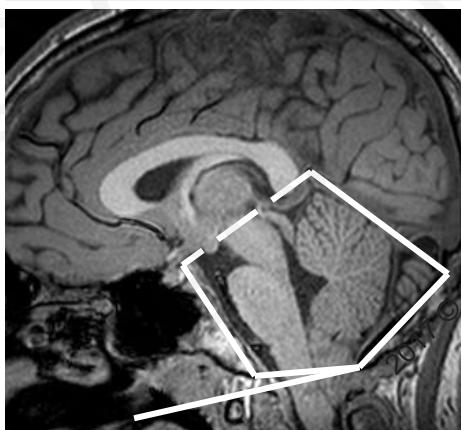
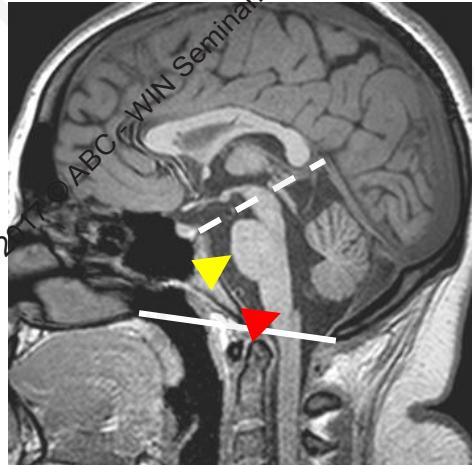


Unilateral occipital hypoplasia



Basal impression (invagination)

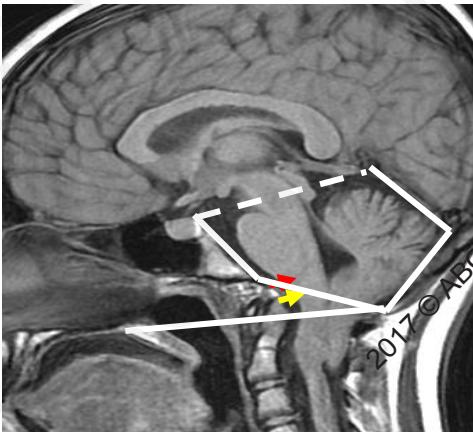
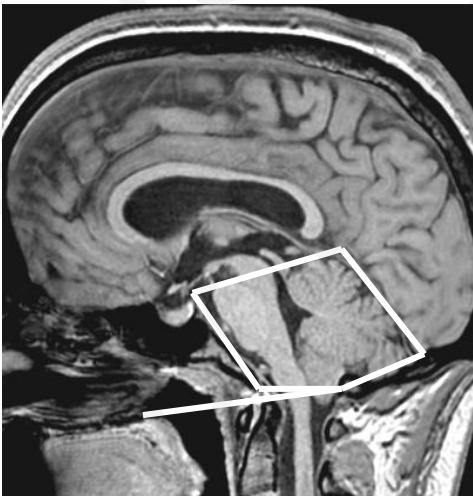
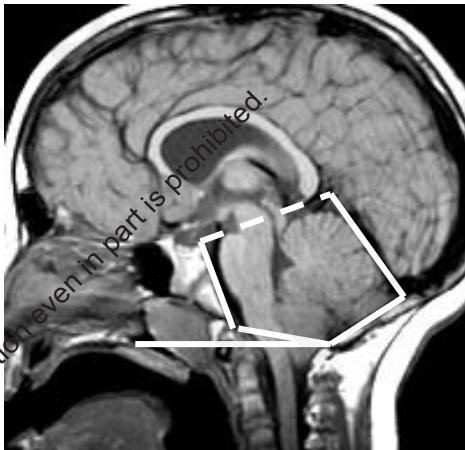
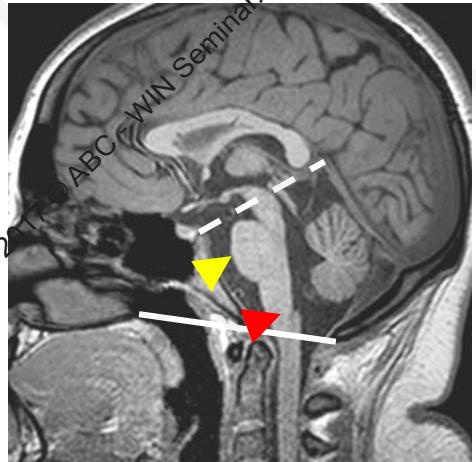
Sagittal pentagon AND coronal evaluation



- effaced cisterns
- asymmetric pentagon = short supra-occiput

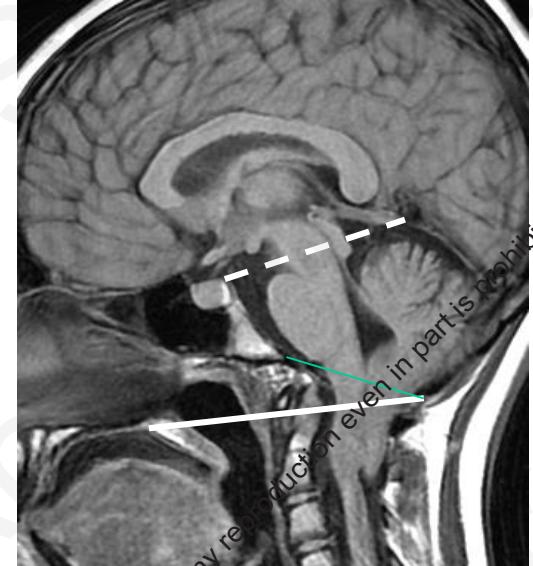
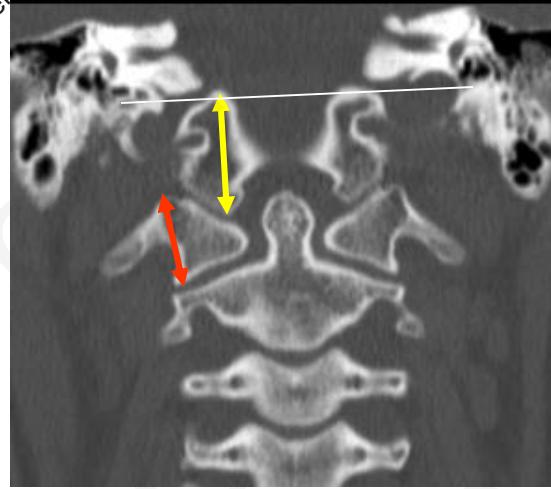
- effaced cisterns
- flat pentagon = flat posterior fossa

- gelastic seizures
- low forebrain, effaced cisterns, McRae & Chamberlain asymmetric pentagon



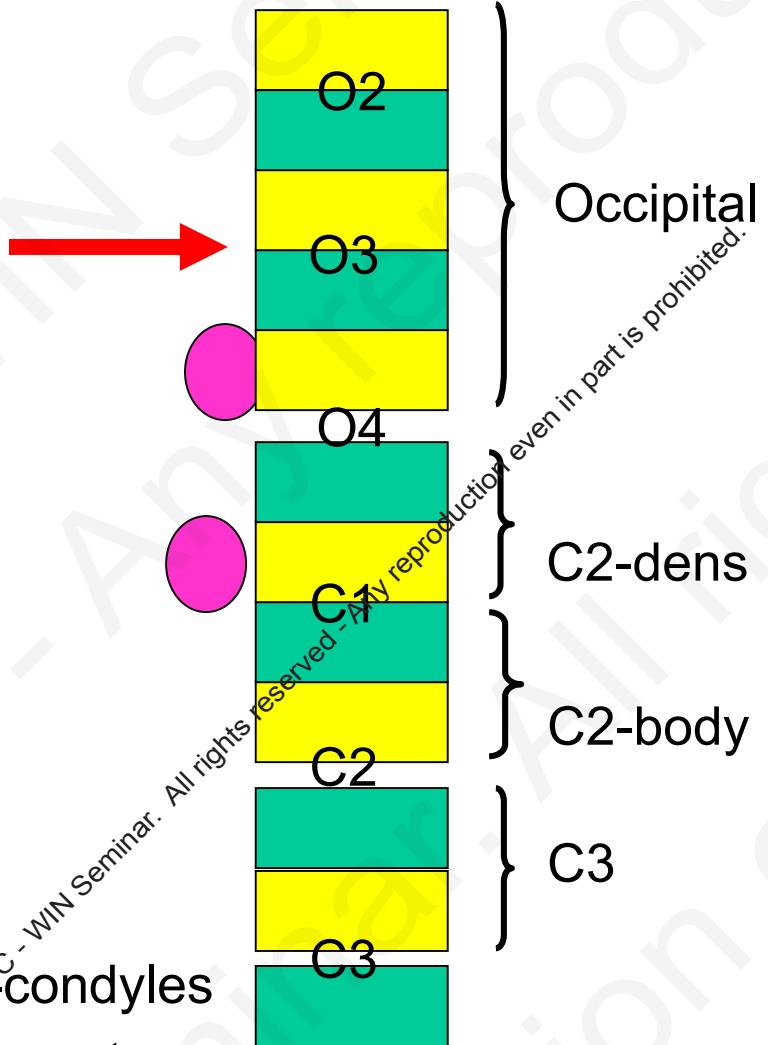
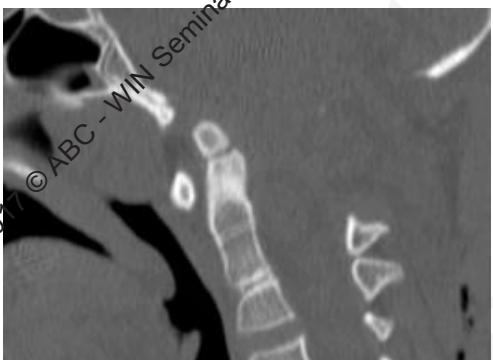
- high midbrain, filled cisterns,
- small, flat pentagon: short clivus & supraoccipital,
- high midbrain, filled cisterns, wide basal angle
- platybasia, flat asymmetric pentagon & short supraoccipital
- distorted pentagon: short clivus, “retroverted” dens (tilted head)  
= hypoplastic pro-atlas

# Short clivus: proatlantal hypoplasia and retroverted dens



- Proatlas: **anterolateral margin of foramen magnum**
- Hypoplasia of clivus & condyles, not of opisthion
- Head tilted forward, relative ascent of the dens, which abuts medulla
- Occipito-atlantal joints ascend in relation to brainstem
  - not a “basilar impression”

# Abnormal segmentation : occipital vertebra

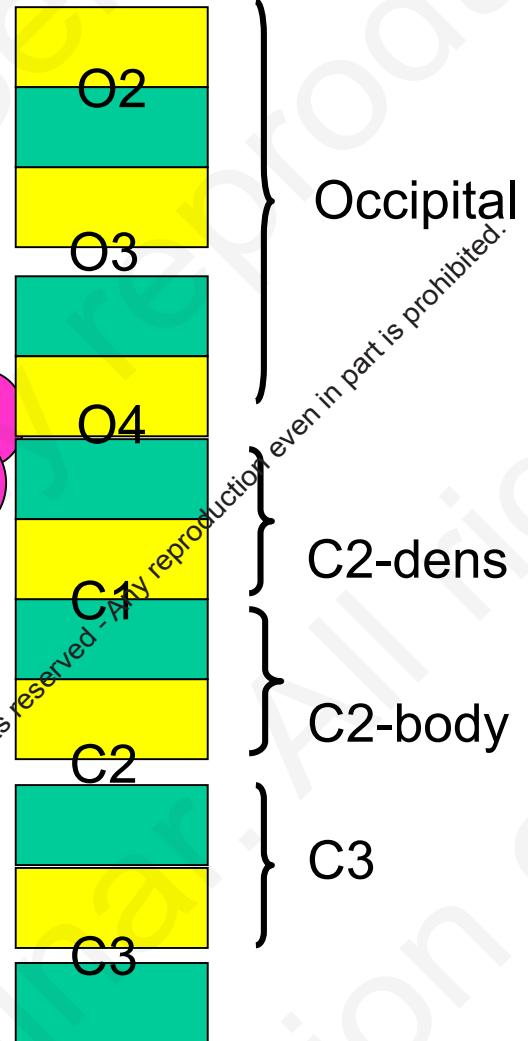
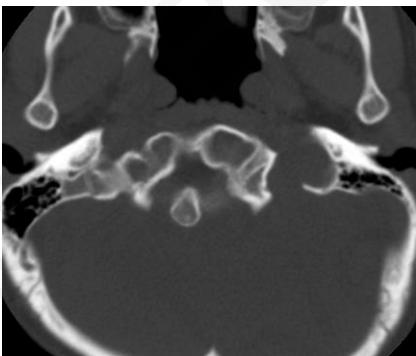


Prominent dens & short clivus

Associated hypoplasia of jugular tubercles-condyles

Neo-articulation with unknown ligamentous anatomy

# Hypogenesis, condylus tertius: new joint, what ligaments?



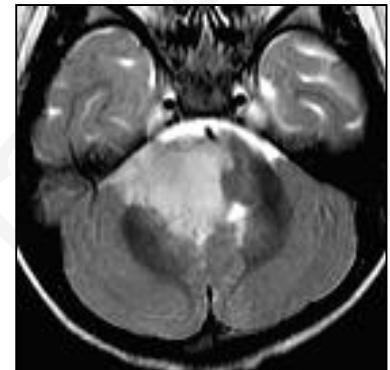
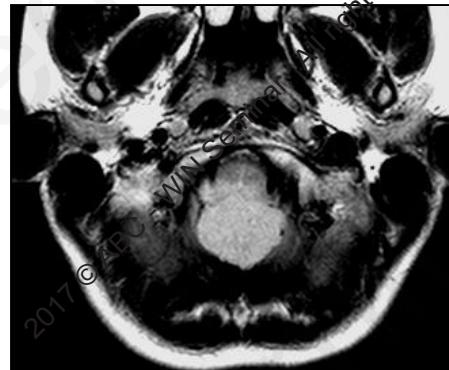
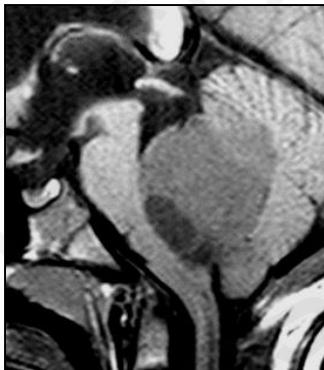
High segmentation, undivided O4, fused hypocentra,  
prolonged invaginated dens; ligamentous apparatus?

# Chiari 1: pathophysiology

- Chiari I deformity : chronic tonsillar downward displacement
  - four main causes: 1) large hindbrain, 2) short / flat posterior fossa, 3) proatlantal hypoplasia, 4) occipital vertebra
- Not a cause of hydrocephalus, but most common cause of hydromyelia and medullary/ low cranial nerve compression (plugging of foramen magnum)
- CVJ bony malformations have complex consequences:
  - abnormal osteo-neural biomechanics (specific brainstem exposure)
  - unknown anatomy of ligamentous apparatus (stability)

# Neural cranio-vertebral junction: tumors

- Neural cranio-vertebral junction is biologically different from upper medulla
- Like in cord, cranio-cervical gliomas involve the whole neural thickness; upper medullary gliomas are tegmental or ventral
- Genome of ependymomas is different in different locations
  - genetically similar to cord and like them , lower fourth ventricular ependymomas are rather benign (type B)
  - lateral recess ependymomas different, with poor prognosis (type A)



# Arterial cranio-vertebral junction: functional adaptation

- Lower medulla and upper cord supplied by anterior midline channel
- Development of the hindbrain first, then of cerebral hemispheres led to capture of the cervical supply through longitudinal paravertebral anastomoses
- The mobility of the CVJ imposed peri-atlantal collateral loops via the pro-atlantal arteries

# Venous cranio-vertebral junction: cranio-spinal continuity

- Supratentorial venous drainage: through remaining ends of the primary head sinuses: cavernous sinus and jugular foramen, and peripheral dural collaterals (sinuses)
- Like the spine, posterior fossa characterised by an anterior epidural plexus and lateral outlets (mastoid and posterior condylar emissary channels)
- Continuous with sigmoid sinuses and spinal epidural plexuses
- Jugular foraminal stenosis → high ICP only if associated with cervical canal stenosis (and non-efficient emissary veins)



## In summary

- CVJ: a developmentally transitional zone between pharyngeal arch and somitic systems, retaining vestigial hypocentrum
- Bony, neural, arterial and venous transitional segment as well
  - in addition to being a specifically joint for a complex motion
- Chiari deformity a consequence of CVJ abnormalities, not a malformation
  - clinical impact : compression (cord, cranial nerves, CSF dynamics) and abnormal biomechanics (osteo-medullary conflict)